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APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/762,579

01/23/2004

Jin Mizuguchi

1417-448

23117

NIXON & VANDERHYE, PC 1100 N GLEBE ROAD 8TH FLOOR

ARLINGTON, VA 22201-4714



CONFIRMATION NO. 3028

FORMALITIES LETTER



OC000000012455054

Date Mailed: 04/27/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

08/30/2004 SZEWDIE1 00000042 10762579

FILED UNDER 37 CFR 1.53(b)

01 FC:1001 02 FC:1051 770.00 OP 130.00 OP

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$900 for a Large Entity

- \$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE

URITED STATES PATENT AND TRADEMARK OFFICE Attention: Application Branch In re Patent Application of: Atty. Dkt. 1417-448 MIZUGUCHI, J. et al. Serial No. 10/762,579 Date: August 27, 2004 Filed: January 23, 2004 BLACK PERYLENE-BASED PIGMENT AND PROCESS FOR PRODUCING THE For: SAME **Mail Stop Missing Parts** Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 The attached completes filing of the above-identified patent application: **Correspondence Address Indication Form Attached.** Signed Rule 63 Declaration alone, Copy of Declaration from prior application alone, OR Signed Declaration plus attached copy of originally filed specification/drawings. NOTICE TO FILE MISSING PARTS OF APPLICATION FILING DATE GRANTED form. Record the attached assignment to Toda Kogyo Corporation, Hiroshima-shi, Hiroshima-ken, and Yokohama TLO Company, Ltd., Kanagawa-ken, Japan, and return to the undersigned. Attached is a Power of Attorney. Priority is hereby claimed under 35 U.S.C. § 119 based on the following foreign applications: **Application Number** Country Day/Month/Year Filed JP 27 July 2001 2001-227693 26 July 2002 PCT/JP02/07603 respectively. Certified copy(ies) of foreign application(s) is/are attached. Certified copy(ies) filed on in prior application no. _____, filed _ "Small entity" statement attached. Applicant claims "small entity" status. Please enter the attached preliminary amendment prior to calculation of filing fee. Also attached: Information Disclosure Statement; Nucleotide and/or Amino Acid Sequence Submission: Other: Fees due are calculated below: \$ 770.00 Basic filing fee \$ Total Effective claims -20 =x \$ 18.00 0.00 Independent claims 2 -3 = x \$ 86.00 \$ 0.00 If any proper multiple dependent claims now added for first time, add \$290.00 (ignore improper) \$ 0.00 **FILING FEE** \$ 770.00 Petition is hereby made to extend the current due date so as to cover the filing date of this paper and attachment(s) (\$110.00/1 month; \$420.00/2 months; \$950.00/3 months; \$1480.00/4 months) \$ 420.00 \$ 130.00 Surcharge (\$130.00) if Declaration or filing fee first now submitted English translation of specification and claims (\$130.00) \$ 0.00 FIRST SUBTOTAL \$ 1,320.00 If "small entity," enter half (½) of subtotal and subtract

SECOND SUBTOTAL

Assignment Recording Fee (\$40.00)

TOTAL FEE ENCLOSED

Any future submission requiring an extension of time is hereby stated to include a petition for such time extensions

The Commissioner is hereby sutherized to charge any deficiency or credit any superportant in the feet(s) filed and subtract

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The Commissioner is hereby sutherized to charge any deficiency or credit any superportant in the feet(s) filed and subtract The Commissioner is hereby authorized to charge any deficiency, or credit any overpayment, in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our **Account No. 14-1140**. A <u>duplicate</u> copy of this sheet is attached.

1100 North Glebe Road, 8th Floor
Arlington, Virginia 22201-4714
By Atty: Arthur R. Crawford, Reg. No. 25,327
Telephone: (703) 816-4000
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Signature:

ARC:eaw

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